

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Venkata Bhagavatula, et al

Serial No: 10/626,457

Filed: 7/23/2003

For: APPARATUS AND METHODS FOR  
PASSIVE ALIGNMENT OF OPTICAL  
ELEMENTS

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98Commissioner of Patents  
Alexandria, VA 22313-1450

Dear Sir:

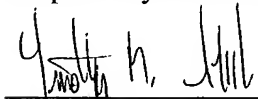
The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

  
\_\_\_\_\_  
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Date: 1/20/04

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on

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Signature: Colleen E. Doherty

FORM PTO-1449 (MODIFIED)

ATTORNEY DOCKET NO.

SERIAL NO.

LIST OF PATENTS AND  
PUBLICATIONS

SP02-165

10/626457

FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

APPLICANT Vankata Bhagavatula, et al.

FILING DATE 7/23/2003

GROUP:

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	4,735,677	4/5/88	Mawachi, et al	156	633	
	AB	5,359,687	10/25/94	McFarland, et al	385	49	
	AC	6,219,470	4/17/01	Tu	385	14	
	AD	6,266,472	7/24/01	Norwood, et al	385	137	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL	EP1059547	12/13/00	Europe				
	AM	EP1182480	2/27/02	Europe				
	AN	WO97/02501	1/23/97	PCT				
	AO							
	AP							
	AQ							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	
	AS	
	AT	
	AU	
	AV	
	AW	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.